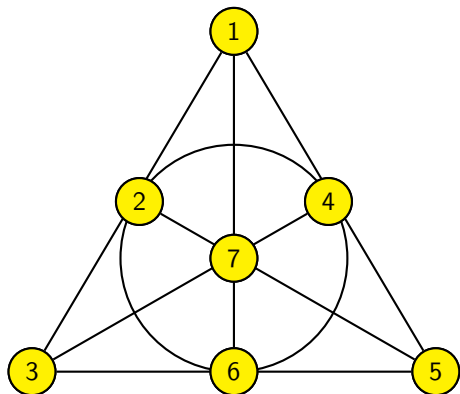


# Codes and Designs Over $GF(q)$

Eimear Byrne  
University College Dublin

ICERM, Nov 12-16 2018

# A Design - the Fano Plane



$\{1,2,3\}$

$\{1,4,5\}$

$\{1,6,7\}$

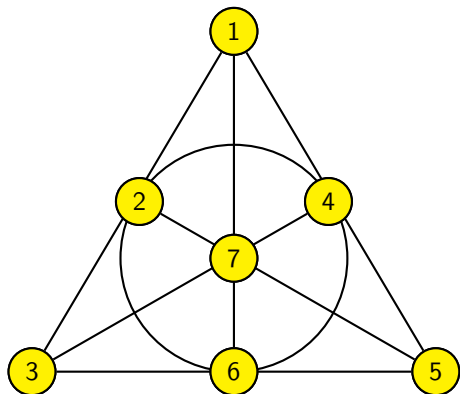
$\{2,4,6\}$

$\{2,5,7\}$

$\{3,4,7\}$

$\{3,5,6\}$

# A Design - the Fano Plane



[1,1,1,0,0,0,0]

[1,0,0,1,1,0,0]

[1,0,0,0,0,1,1]

[0,1,0,1,0,1,0]

[0,1,0,0,1,0,1]

[0,0,1,1,0,0,1]

[0,0,1,0,1,1,0]

# A Code That Holds a Design - the Hamming Code

|                   |                   |
|-------------------|-------------------|
| $[0,0,0,1,1,1,1]$ | $[1,1,1,0,0,0,0]$ |
| $[0,1,1,0,0,1,1]$ | $[1,0,0,1,1,0,0]$ |
| $[0,1,1,1,1,0,0]$ | $[1,0,0,0,0,1,1]$ |
| $[1,0,1,0,1,0,1]$ | $[0,1,0,1,0,1,0]$ |
| $[1,0,1,1,0,1,0]$ | $[0,1,0,0,1,0,1]$ |
| $[1,1,0,0,1,1,0]$ | $[0,0,1,1,0,0,1]$ |
| $[1,1,0,1,0,0,1]$ | $[0,0,1,0,1,1,0]$ |
| <hr/>             | <hr/>             |
| $[1,1,1,1,1,1,1]$ | $[0,0,0,0,0,0,0]$ |

## Definition

A  $t$ - $(n, d, \lambda)$  design is a pair  $\mathbf{D} = (\mathbf{P}, \mathbf{B})$ , where  $\mathbf{P}$  is an  $n$ -set (points) and  $\mathbf{B}$  is a collection of  $d$ -subsets of  $\mathbf{P}$  (blocks) such that every  $t$ -set of points of  $\mathbf{P}$  is contained in exactly  $\lambda$  blocks of  $\mathbf{B}$ .

- The Fano plane is a  $2$ - $(7, 3, 1)$  design (also called a Steiner system).

## Definition

An  $\mathbb{F}_q$ - $[n, k, d]$  (Hamming metric) code is a  $k$ -dimensional subspace of  $\mathbb{F}_q^n$ , such that the minimum of the Hamming weights of its non-zero elements is  $d$ .

- The binary Hamming code shown before is an  $\mathbb{F}_2$ - $[7, 4, 3]$  code.

# $q$ -Analogues of Codes and Designs

## Definition

A  $t$ - $(n, d, \lambda)_q$  design is a pair  $\mathbf{D} = (V, \mathbf{B})$ , where  $V$  is an  $n$ -dimensional  $\mathbb{F}_q$ -space and  $\mathbf{B}$  is a collection of  $d$ -dimensional subspaces (blocks) of  $V$ , such that every  $t$ -dimensional subspace of  $V$  is contained in exactly  $\lambda$  blocks of  $\mathbf{B}$ .

- A  $q$ -analogue of the Fano plane would be an  $2$ - $(7, 3, 1)_q$  design.

## Definition

An  $\mathbb{F}_q$ - $[n \times m, k, d]$  rank metric code is a  $k$ -dimensional subspace of  $\mathbb{F}_q^{n \times m}$ , such that the minimum of the ranks of its non-zero elements is  $d$ .

- Any  $k$ -dimensional subspace of  $\mathbb{F}_q^{n \times m}$  is a  $km$ -dimensional rank metric code.

# The Assmus-Mattson Theorem

# Hamming Weight Distributions

The Hamming weight of  $v \in \mathbb{F}_q^n$  is:  $w_H(v) := |\{i : v_i \neq 0\}|$ .

The support of  $v$  is:  $\sigma_H(v) := \{i : v_i \neq 0\}$ .

## Definition

Let  $C$  be an  $\mathbb{F}_q$ - $[n, k]$  code. The Hamming weight distribution of  $C$  is  $(A_i(C) : i \geq 0)$  where

$$A_i(C) := |\{c \in C : w_H(c) = i\}|.$$

If  $A_i(C) \neq 0$  and  $i \geq 1$ , we say that  $i$  is a weight of  $C$ .

- The 3-supports of the Hamming code shown are the blocks of the Fano plane.
- An  $\mathbb{F}_2$ - $[7, 4, 3]$  code has weight distribution  $(1, 0, 0, 7, 7, 0, 0, 1)$ .
- The weight distribution of an extremal code is often determined.



# Duality

- $C^\perp = \{x \in \mathbb{F}_q^n : x \cdot y = 0 \forall y \in C\}$ .
- The Assmus-Mattson theorem relies on the MacWilliams duality theorem:

$$(A_i(C) : 0 \leq i \leq n)P = (A_i(C^\perp) : 0 \leq i \leq n),$$

for an invertible transform matrix  $P$ .

## Example

If  $C$  is the  $\mathbb{F}_2$ -[7,4,3] (Hamming) code, then  $C^\perp$  is the  $\mathbb{F}_2$ -[7,3,4] (Simplex) code

- $C$  has weight distribution  $(1, 0, 0, 7, 7, 0, 0, 1)$ ,
- $C^\perp$  has weight distribution  $(1, 0, 0, 0, 7, 0, 0, 0)$ .

# The Assmus-Mattson Theorem

## Theorem (Assmus-Mattson, 1969)

Let  $C$  be an  $\mathbb{F}_q$ - $[n, k, d]$  code. Let  $t \leq d \leq n - t$ . Suppose that  $C^\perp$  has at most  $d - t$  weights in  $\{1, \dots, n - t\}$ . Then the supports of the words of weight  $d$  in  $C$  form the blocks of a  $t$ -design.

Let  $w$  be the greatest integer such that for each  $d \leq s \leq w$  and every  $s$ -support  $S$  of  $C$

$$|\{c \in C : \sigma_H(c) = S\}| \text{ depends only on } s.$$

Let  $w^\perp$  be defined similarly. Then the

- 1  $s$ -supports of  $C$  form the blocks of a  $t$ -design,  $d \leq s \leq w$ ,
  - 2  $s$ -supports of  $C^\perp$  form the blocks of a  $t$ -design,  $d^\perp \leq s \leq \min\{w^\perp, n - t\}$ .
- The (Hamming) support of  $c$  is  $\sigma_H(c) := \{i : c_i \neq 0\}$ .

# The Assmus-Mattson Theorem

## Theorem

Let  $C$  be an  $\mathbb{F}_q$ - $[n, k, d]$  code. Let  $t \leq d \leq n - t$ . Suppose that  $C^\perp$  has at most  $d - t$  weights in  $\{1, \dots, n - t\}$ . Then the  $d$ -supports of  $C$  form the blocks of a  $t$ - $(n, d, \lambda)$  design.

- The  $\mathbb{F}_2$ - $[7, 4, 3]$  code  $C$  has dual with weight distribution  $(1, 0, 0, 0, 7, 0, 0, 0)$ . As  $d - 2 = 3 - 2 = 1$ , the 3-supports of  $C$  form a 2-design.
- The  $\mathbb{F}_2$ - $[24, 12, 8]$  Golay code is self-dual with weights  $\{8, 12, 16, 24\}$ . There are  $8 - 5 = 3$  weights  $\leq 25 - 5 = 19$ . The 8-supports form a 5- $(24, 8, 1)$  design.
- The  $\mathbb{F}_3$ - $[12, 6, 6]$  Golay code is self-dual with weights  $\{6, 9, 12\}$ . There is  $6 - 5 = 1$  weight  $\leq 12 - 5 = 7$ . The 6-supports form a 5- $(12, 6, 1)$  design.
- Many classes of BCH codes have dual codes with few weights & hold designs.

# Subspace Designs

# Subspace Designs

## Theorem

Let  $n \equiv 1 \pmod{6}, n \geq 7$ . Let  $\mathbf{P} = \mathbb{F}_{q^n}^\times$  and let

$$\mathbf{B} := \{ \langle x^2, xy, y^2 \rangle_{\mathbb{F}_q} : \langle x, y \rangle \subset \mathbb{F}_{q^n}^\times, \dim_{\mathbb{F}_q} \langle x, y \rangle = 2 \}.$$

Then  $(\mathbf{P}, \mathbf{B})$  is a  $2$ - $(n, 3, q^2 + q + 1)_q$  design.

- Thomas, 1987,  $q = 2$ , construction using orbits of planes under  $\mathbb{F}_{2^n}^\times$
- Suzuki, 1990,  $q = 2^m$ ; 1992 any prime power  $q$ .

## Problem

If  $(n, (2r)!) = 1$ , is this a design?

$$\mathbf{B} := \{ \langle x^r, x^{r-1}y, \dots, xy^{r-1}, y^r \rangle_{\mathbb{F}_q} : \langle x, y \rangle \subset \mathbb{F}_{q^n}^\times, \dim_{\mathbb{F}_q} \langle x, y \rangle = 2 \}.$$

## Other Examples

- Most known examples of subspace designs were found by prescribing an automorphism group.
- $\tau \in \Gamma L(V)$  is an automorphism of  $(V, \mathbf{B})$  if  $B \in \mathbf{B} \implies B^\tau \in \mathbf{B}$ .
- The first  $t$ -subspace design with  $t = 3$  was found with the normalizer of a Singer cycle as an automorphism group (Braun, Kerber, Laue, 2005).

If  $A$  is the  $\begin{bmatrix} n \\ t \end{bmatrix}_q \times \begin{bmatrix} n \\ d \end{bmatrix}_q$  incidence matrix of  $t$ -subspaces and  $d$ -subspaces, then finding a  $t$ - $(n, d, \lambda)$  designs amounts to solving the following equation for a 0–1 vector  $x$ .

$$Ax = \lambda \mathbf{1}.$$

If we assume an automorphism group of the design, then  $A$  is replaced with a  $T \times D$  matrix with  $T$  orbits of  $t$ -spaces and  $D$  orbits of  $d$ -spaces.

# Subspace Designs - Steiner Systems

- A  $(k-1)$ -spread in  $PG(n-1, q)$  is a  $1-(n, k, 1)_q$  design.
- A  $2-(n, 3, 1)_q$  is called a  $q$ -Steiner triple system,  $STS_q(n)$ .
- An  $STS_q(n)$  exists only if  $n \equiv 1 \pmod{6}$  or  $n \equiv 3 \pmod{6}$ .
- It is not yet known if there exists an  $STS_q(7)$ , i.e. a  $2-(7, 3, 1)_q$  design,  
- the  $q$ -analogue of the Fano plane.

Theorem (Braun, Etzion, Östergard, Vardy, Wassermann, 2016)

$2-(13, 3, 1)_2$  Steiner triple systems exist.

Theorem (Braun, Wassermann, 2018)

There are 1316 mutually disjoint  $2-(13, 3, 1)_2$  designs, which implies the existence of a  $2-(13, 3, \lambda)$  design for each  $\lambda \in \left\{ 1, \dots, 2047 = \begin{bmatrix} 13-2 \\ 3-2 \end{bmatrix}_2 \right\}$ .

# Itoh's Construction

## Theorem (Itoh, 1998)

Let  $v, s, r, \ell \in \mathbb{N}_0$  such that  $r \in \{0, 1\}$ ,  $r = 0$  if  $3 \nmid \ell$  and

$$\lambda = q(q+1)(q^3-1)s + q(q^2-1)r.$$

Let  $S(\ell, q)$  be the conjugacy class of Singer cycle groups in  $GL(\ell, q)$ .

If there exists an  $S(\ell, q)$ -invariant  $2-(\ell, 3, \lambda)_q$  design then there exists an  $SL(v, q^\ell)$ -invariant  $2-(v\ell, 3, \lambda)_q$  design.

Itoh's result has been used to obtain many concrete examples of subspace designs.



# Existence of Subspace Designs

## Theorem (Fazeli, Lovett, Vardy, 2014)

*Let  $q$  be a prime power and let  $n, d, t$  be positive integers with  $d > 12(t+1)$ .*

*If  $n \geq cdt$  for a sufficiently large constant  $c$ , then there exists a non-trivial  $t$ - $(n, d, \lambda)_q$  design.*

*Moreover, these designs have at most  $q^{12(t+1)n}$  blocks.*

An existence result for  $q$ -Steiner systems is not known.

# Known Infinite Families

| $t-(n, r, \lambda)$   | $\mathbb{F}_q$               | Constraints   |      |
|---|------------------------------|---|------|
| $2-(n, 3, 7)$   | $\mathbb{F}_2$               | $(n, 6) = 1, n \geq 7$  | 1987 |
| $2-\left(n, 3, \begin{bmatrix} 3 \\ 1 \end{bmatrix}_q\right)$                 | $\mathbb{F}_q$               | $(n, 6) = 1, n \geq 7$  | 1992 |
| $2-\left(\ell s, 3, q^3 \begin{bmatrix} s-5 \\ 1 \end{bmatrix}_q\right)$      | $\mathbb{F}_q$               | if $\exists 2-\left(s, 3, q^3 \begin{bmatrix} s-5 \\ 1 \end{bmatrix}_q\right)$ design over $\mathbb{F}_q$<br>that is invariant under a Singer cycle | 1999 |
| $2-\left(n, r, \frac{1}{2} \begin{bmatrix} n-2 \\ r-2 \end{bmatrix}_q\right)$ | $\mathbb{F}_3, \mathbb{F}_5$ | $n \geq 6, n \equiv 2 \pmod{4},$<br>$3 \leq r \leq n-3, r \equiv 3 \pmod{4}$  | 2017 |

Table: Known infinite families of subspace designs.

## Some Remarks

- Up to now, there are no other methods known to produce subspace designs.
- Actions of  $t$ -transitive groups yield only trivial subspace designs.
- Prescribing an automorphism group still requires parameters to be not too big.
- A new approach is required if there is any hope to find infinite families.

This motivates using ideas from coding theory to construct new subspace designs.

# Matrix Codes and Designs

# Supports in Matrix Codes

- For any  $X \in \mathbb{F}_q^{n \times m}$ , define  $\sigma(X) := \text{colspace}(X)$ .
- For any  $x \in \mathbb{F}_q^n$ , define  $\sigma(x) := \text{colspace}(\Gamma(x))$ , where  $\Gamma(x) \in \mathbb{F}_q^{m \times n}$  is the expression of  $x$  wrt an  $\mathbb{F}_q$ -basis  $\Gamma$  of  $\mathbb{F}_q^m$ .
- An  $r$ -support of a rank metric code is an  $r$ -dimensional subspace  $U$  of  $\mathbb{F}_q^n$  that is the support of a codeword.

## Question

When do the  $r$ -supports of a rank metric code form a subspace design?

# An Assmus-Mattson Theorem for Rank Metric Codes

## Theorem (B., Ravagnani, 2018)

Let  $C$  be an  $\mathbb{F}_q$ - $[n \times m, k, d]$  rank metric code. Let  $t \leq d \leq n - t$ . Suppose that  $C^\perp$  has at most  $d - t$  ranks in  $\{1, \dots, n - t\}$ .

Let  $w$  be the greatest integer such that for each  $d \leq s \leq w$  and every  $s$ -support  $S \subset \mathbb{F}_q^n$  of  $C$

$$|\{c \in C : \sigma(c) = S\}| \text{ depends only on } s.$$

Let  $w^\perp$  be defined similarly. Then the

- 1  $s$ -supports of  $C$  form a  $t$ -subspace design,  $d \leq s \leq w$ .
- 2  $s$ -supports of  $C^\perp$  form a  $t$ -subspace design,  $d^\perp \leq s \leq \min\{w^\perp, n - t\}$ .

# An Assmus-Mattson Theorem for Rank Metric Codes

- 1 MacWilliams duality theorem holds for rank metric codes.
- 2 There exist dual operations of puncturing and shortening.
- 3 Compatibility of these operations with supports of matrices.
- 4 Invariance of matrix rank under  $\mathbb{F}_q$ -isomorphisms.

## Basic Idea

- If  $C^\perp$  has  $d - t$  ranks, the weight distribution of any punctured code of  $C$  in  $\mathbb{F}_q^{(n-t) \times m}$  is determined.
- The words of rank  $d - t$  in a punctured code in  $\mathbb{F}_q^{(n-t) \times m}$  correspond to words of rank  $d$  whose  $d$ -supports contain a  $t$ -dimensional space.
- This number is invariant of the choice of subspace.

# An Assmus-Mattson Theorem for Rank Metric Codes

## Corollary (B., Ravagnani, 2018)

Let  $C$  be an  $\mathbb{F}_{q^m}$ - $[n, k, d]$  code. Let  $1 \leq t < d$  be an integer, and assume that

$$|\{1 \leq i \leq n-t : W_i(C^\perp) \neq 0\}| \leq d-t.$$

Let  $d^\perp$  be the minimum distance of  $C^\perp$ . Then

- 1 the  $d$ -supports of  $C$  form the blocks of a  $t$ -design over  $\mathbb{F}_q$ ,
- 2 the  $d^\perp$ -supports of  $C^\perp$  form the blocks of a  $t$ -design over  $\mathbb{F}_q$ .



# A Subspace Design from a Rank Metric Code

## Example

Let  $s$  be a positive integer and let  $m = 2s$ . Let  $\{\alpha_1, \dots, \alpha_m\}$  be an  $\mathbb{F}_q$ -basis of  $\mathbb{F}_q^m$ . Let  $C$  be the  $\mathbb{F}_q^m$ - $[m, m-2, 2]$  vector rank metric code with parity check matrix

$$H = \begin{bmatrix} \alpha_1 & \alpha_2 & \cdots & \alpha_m \\ \alpha_1^{q^s} & \alpha_2^{q^s} & \cdots & \alpha_m^{q^s} \end{bmatrix}.$$

Then  $C^\perp$  has  $\mathbb{F}_q$ -ranks  $\{s, 2s\}$ .

Set  $t = 1$ .  $C^\perp$  has exactly  $d - t = 1$  weight,  $s$ , in  $\{1, \dots, 2s - 1\}$ .

The supports of the codewords of  $C$  of rank 2 form a 1-design over  $\mathbb{F}_q$  and the words of rank  $s$  in  $C^\perp$  form a 1- $(m, s, 1)$  subspace design (a spread).

# A Subspace Design from a Rank Metric Code

## Example

Let  $n \leq m$  and let  $\{\alpha_1, \dots, \alpha_n\} \subset \mathbb{F}_{q^m}$  be linearly independent over  $\mathbb{F}_q$ .

Let  $C$  be the  $\mathbb{F}_{q^m}$ - $[n, k, n - k + 1]$  rank metric code generated by the rows of

$$G = \begin{bmatrix} \alpha_1 & \alpha_2 & \cdots & \alpha_n \\ \alpha_1^q & \alpha_2^q & \cdots & \alpha_n^q \\ \alpha_1^{q^2} & \alpha_2^{q^2} & \cdots & \alpha_n^{q^2} \\ \vdots & \vdots & \vdots & \vdots \\ \alpha_1^{q^{k-1}} & \alpha_2^{q^{k-1}} & \cdots & \alpha_n^{q^{k-1}} \end{bmatrix}.$$

$C^\perp$  has ranks  $\{d^\perp = k + 1, k + 2, \dots, n\}$ . For  $1 \leq t \leq d$ ,  $C^\perp$  has

$$n - t - d^\perp + 1 = n - t - k < d - t = n - k + 1 - t$$

ranks in  $\{1, \dots, n - t\}$ . So the minimum rank vectors of  $C$  and  $C^\perp$  hold  $t$ -designs..

# MRD Codes

An  $\mathbb{F}_q$ - $[n \times m, k, d]$  code is called MRD if  $k = \max\{m, n\}(\min\{m, n\} - d + 1)$ .

- The minimum rank words of any MRD code hold  $t$ -designs, but they are trivial! Every  $d$ -dimensional space of  $\mathbb{F}_q^n$  is a  $d$ -support of the code.
- If an  $\mathbb{F}_{q^m}$ - $[n, k, d]$  rank metric code holds a trivial design, it must be MRD.
- The last statement is false for rank metric codes that are not  $\mathbb{F}_{q^m}$ -linear.

## Other Examples?

No constructions of codes that hold non-trivial designs for  $t \geq 2$  are known yet.

- Not many classes of rank-metric codes are known.
- Known families of rank metric codes are all MRD.
- Subspace designs from MRD codes are trivial.

### Problem

*Construct a family of  $\mathbb{F}_{q^m}$ -linear rank metric codes with a small number of ranks.*

### Problem

*Construct  $\mathbb{F}_q$ -linear matrix codes where the number of codewords with a given  $d$ -support is invariant.*

# Existence Results

## Lemma (B. Ravagnani, 2018)

Let  $C$  is an  $\mathbb{F}_q$ - $[n \times m, k, d]$  code satisfying the hypothesis of of the rank-metric Assmus-Mattson theorem. If  $m \geq \log_q(4) + n^2/4$ , then  $C^\perp$  has either  $d$  or  $d + 1$  ranks.

## Theorem (B. Ravagnani, 2018)

Let  $C$  be an  $\mathbb{F}_{q^m}$ - $[n, k, d]$  code if  $m \geq n$  is sufficiently large then  $C^\perp$  has at least  $n - k$  ranks.

## Corollary (B. Ravagnani, 2018)

Let  $C$  be an  $\mathbb{F}_{q^m}$ - $[n, k, d]$  code and let  $1 \leq t \leq d - 1$ . If  $m \geq n$  is sufficiently large and if  $C$  satisfies the hypothesis of the rank-metric Assmus-Mattson theorem then  $d \geq n - k$ .

# Existence Questions

## Problem

*Are any of the known subspace designs realizable as  $d$ -supports of  $\mathbb{F}_{q^m}$ - $[n, k, d]$  rank metric codes?*

## Problem

*Does there exist an  $\mathbb{F}_{q^m}$ - $[7, k, 3]$  rank metric code whose 3-supports form the Fano plane?*

## Problem

*Do there exist  $q$ -BCH codes with minimum rank distance  $\geq 5$  whose dual codes have few ranks?*

## Problem

*What can we say in general about existence of codes satisfying the rank Assmus-Mattson theorem?*

# References

-  E. F. Assmus, Jr., H. F. Mattson, Jr., New 5-Designs, Jour. Comb. Thy, **6** 1969.
-  M. Braun, M. Kiermaier, A. Kohnert, R. Laue, Large Sets of Subspace Designs, Jour. Comb. Thy (A), **147**, 2017.
-  M. Braun, M. Kiermaier, A. Wassermann,  $q$ -Analogues of Designs: Subspace Designs, in Network Coding and Subspace Designs, Eds. M. Greferath, M. Pavcevic, A. Vazquez-Castro, N. Silberstein, Springer-Verlag Berlin, 2018.
-  M. Braun, M. Kiermaier, A. Wassermann, Computational Methods in Subspace Designs, in Network Coding and Subspace Designs, Eds. M. Greferath, M. Pavcevic, A. Vazquez-Castro, N. Silberstein, Springer-Verlag Berlin, 2018.
-  A. Fazeli, S. Lovett, A. Vardy, Nontrivial  $t$ -Designs Over Finite Fields Exist For All  $t$ , J. Combin. Theory Ser. A 127, 2014.
-  T. Itoh, A New Family of 2-designs over  $GF(q)$  Admitting  $SL_m(q^\ell)$ , Geom. Dedicata 69(3), 1998.
-  H. Suzuki, 2-Designs over  $GF(q)$  Graphs Comb. **8** (4), 1992.
-  H. Suzuki, On the Inequalities of  $t$ -Designs Over a Finite Field, European J. Combin., **11**, 6, 1990.