

# Lines in the tropics

Maria Angelica Cueto

Department of Mathematics  
The Ohio State University

Blackwell-Tapia Conference 2018 - ICERM

Based on joint works in preparation with Anand Deopurkar (Australia) and  
Hannah Markwig (Germany)

TROPICAL  
MATH



## COMBINATORICS

- Polyhedra:  $A \underline{x} \geq \underline{b}$
- Polyhedral complexes
- Metric graphs

## ALG. GEOMETRY

- Solutions to poly eqns.
- Geometric invariants
- Curves

**SLOGAN 1:** Tropical Geometry is **Algebraic Geometry over the tropical semifield**  $(\overline{\mathbb{R}}, \oplus, \odot)$ .

**SLOGAN 2:** Tropical varieties are **combinatorial shadows** of algebraic varieties (over valued fields.)

# SLOGAN 1: Trop. Geometry is Alg. Geometry over $\overline{\mathbb{R}}_{tr} := (\overline{\mathbb{R}}, \oplus, \odot)$ .

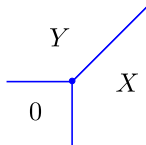
- $\overline{\mathbb{R}} = \mathbb{R} \cup \{-\infty\}$ ,  $a \oplus b = \max\{a, b\}$ ,  $a \odot b = a + b$   
(E.g.:  $3 \oplus 5 = 5$ ,  $3 \odot 5 = 8$ ,  $-\infty \oplus 3 = 3$ ,  $0 \odot 3 = 3$ .)

Polys in  $\overline{\mathbb{R}}_{tr}[X_1, \dots, X_n] \equiv \overline{\mathbb{R}}^n \rightarrow \overline{\mathbb{R}}$  cont., convex, affine PL with  $\mathbb{Z}$ -slopes

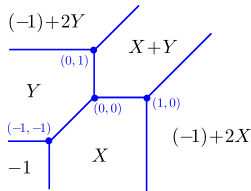
$$\begin{aligned}
 F(\underline{X}) &= \bigoplus_{\substack{\alpha \in \mathbb{N}_0^n \\ \text{(finite)}}} a_\alpha \odot X_1^{\odot \alpha_1} \odot \dots \odot X_n^{\odot \alpha_n} \\
 &= \max_{\alpha} \{a_\alpha + \alpha_1 X_1 + \dots + \alpha_n X_n\}
 \end{aligned}$$

- Tropical hypersurface:  $V_{tr}(F) = \text{corner locus of } F$ .

## • Examples:



$$F(X, Y) = \max\{0, X, Y\}$$



$$F(X, Y) := \max\{-1, X, Y, X+Y, -1+2X, -1+2Y\}$$

## SLOGAN 2: Trop. vars are *comb. shadows* of alg. vars via *valuations*.

- **Def.:** A **valuation** on a field  $K$  is a map  $\text{val}: K \setminus \{0\} \rightarrow \mathbb{R}$  satisfying:
  - (1)  $\text{val}(xy) = \text{val}(x) + \text{val}(y)$ ,
  - (2)  $\text{val}(x+y) \geq \min\{\text{val}(x), \text{val}(y)\}$  (and  $=$  if  $\text{val}(x) \neq \text{val}(y)$ )

Extend  $\text{val}$  to  $K$  via  $\text{val}(0) = +\infty$ .

- Examples:**
- Trivial valuations  $\text{val}(x) = 0$  for all  $x \neq 0$ .
  - $K = \overline{\mathbb{C}((t))}$  with  $t$ -valuation ( $\text{val}(2t^{-5} + 3t^{-1/2} + \dots) = -5$ ).
  - $K = \overline{\mathbb{Q}_p}$  with  $p$ -adic valuation.

- We **tropicalize polynomials** in  $K[x_1, \dots, x_n]$  using  $(-\text{val}$  on  $K$ ,  $\oplus$  and  $\odot$ ):

$$f(\underline{x}) = \sum_{\alpha} a_{\alpha} \underline{x}^{\alpha} \rightsquigarrow \text{trop}(f)(\underline{X}) = \max_{\alpha \in \text{Supp}(f)} \{-\text{val}(a_{\alpha}) + \alpha_1 X_1 + \dots + \alpha_n X_n\}$$

- **Def. 1:**  $\text{Trop}(V(f)) =$  **Corner locus** of  $\text{trop}(f)$  in  $\overline{\mathbb{R}}^n$  (max is at two  $\alpha$ 's)

In general:  $I$  defining ideal  $\rightsquigarrow$   $\boxed{\text{Trop}(I) = \bigcap_{f \in I} \text{Trop}(V(f))}$ .

## SLOGAN 2(cont.): Trop. vars are *comb. shadows* of alg. vars via *valns*.

Fix  $K = \overline{K}$  with **non-trivial valn.** (e.g.  $K = \overline{\mathbb{C}((t))}$ ).

Fix a closed embedding  $\iota: X \hookrightarrow Y_\Sigma =$  toric variety with dense torus  $(K^*)^n$ .

**Examples:**  $Y_\Sigma = (K^*)^n, K^n$  or  $\mathbb{P}^n$ .

$$\text{Trop } Y_\Sigma = \mathbb{R}^n, \overline{\mathbb{R}}^n \text{ or } \mathbb{TP}^n := \frac{\overline{\mathbb{R}}^{n+1} \setminus \{(-\infty, \dots, \infty)\}}{\mathbb{R} \cdot \mathbf{1}} \simeq \Delta_n \text{ (n-simplex).}$$

**Def. 2:**  $\text{Trop } X = \text{cl.}\{(-\text{val}(p_1), \dots, -\text{val}(p_n)) : (p_1, \dots, p_n) \in X\} \subset \text{Trop } Y_\Sigma$

**Fundamental Thm. of Trop. Geom.:** Both definitions agree.

**Structure Thm.:**  $\text{Trop}(X)$  is a polyhedral complex of dimension  $\dim(X)$  (pure if  $X$  is irreducible, balanced if multiplicities on top-dim. cells.)

**ISSUE:** Definition of  $\text{Trop}(X)$  is **coordinate dependent!** (Q: Best choices?)

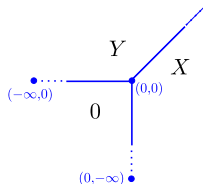
# Examples: Lines in the tropics over $K = \overline{\mathbb{C}((t))}$

- **Example 0:** The line  $K \rightsquigarrow \text{Trop}(K) = \overline{\mathbb{R}}$



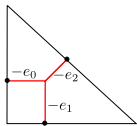
- **Example 1:** The line  $1 + x + y = 0$  in the plane  $K^2$ .

Def. 1:  $f = 1 + x + y \rightsquigarrow \text{trop}(f)(X, Y) = \max\{0, X, Y\}$

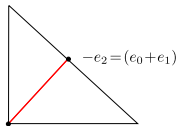


Def. 2:  $\iota: K \hookrightarrow K^2 \quad \iota(x) = (x, -1 - x) \rightsquigarrow (-\text{val}(x), -\text{val}(1 + x))$  in  $\overline{\mathbb{R}^2}$

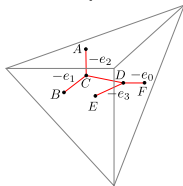
- **Example 2:** Trop. Lines in  $\mathbb{TP}^2$



vs.



- **Example 3:** Trop. Lines in  $\mathbb{TP}^3$



$$A = (0, -1, -\infty, 0)$$

$$B = (0, -\infty, -2, 0)$$

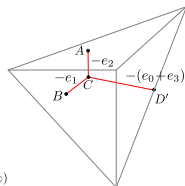
$$C = (0, -1, -2, 0)$$

$$D = (0, 0, -1, 0)$$

$$E = (0, 0, -1, -\infty)$$

$$F = (-\infty, 0, -1, 0)$$

$$D' = (-\infty, -1, -2, -\infty)$$



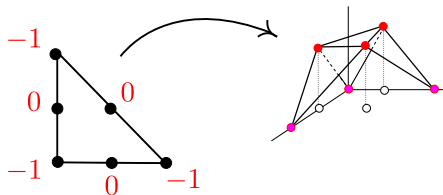
Generic

Non-generic

# Tropical plane curves = metric graphs in $\mathbb{R}^2$ = dual to Newton subdivisions

**Example:**  $f(x, y) = t + x + y + xy + 2tx^2 + (3t + t^2)y^2$  in  $\overline{\mathbb{C}((t))}[x, y]$

$$\text{trop}(f)(X, Y) = \max\{-1, X, Y, X + Y, -1 + 2X, -1 + 2Y\}$$

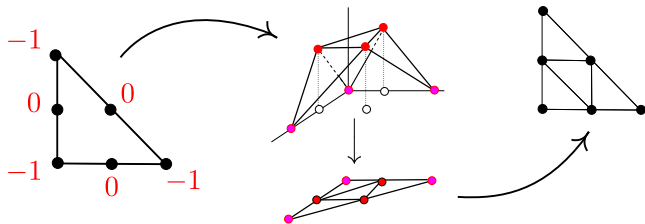


0. Take a polynomial  $f$  in  $K[x, y]$  with  $K$  non-trivially valued field.
1. Build the **Newton Polytope** of  $f$ :  $NP(f) := \text{conv}((i, j) \text{ in } \text{supp}(f))$ .
2. Place each point  $(i, j)$  from  $NP(f)$  at **height**  $-\text{val}(\text{coeff}(x^i y^j))$  in  $\mathbb{R}^3$ .

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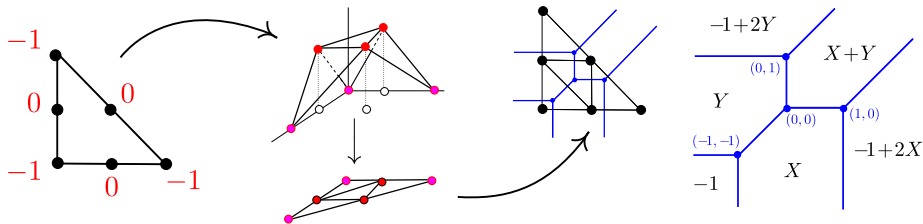
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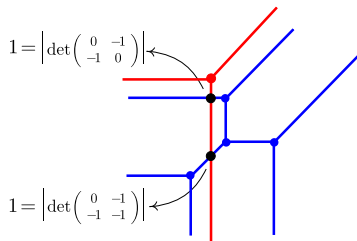
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3. Take upper hull and project to  $\mathbb{R}^2$ . We get a **subdivision** of  $NP(f)$ .
4.  $\text{Trop}(V(f)) =$  **dual graph** to this subdivision. Comes with a **metric**.

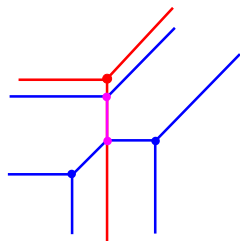
# Basic Facts about general tropical plane curves:

- (1) Interpolation for *general* pts in  $\mathbb{R}^2$  holds tropically (Mikhalkin's Corresp.) (unique line through 2 gen. points, unique conic through 5 gen. points, . . .)
- (2) *General* trop. curves intersect *properly* and as expected (Trop. Bézout.)



Proper intersection at 2 pts

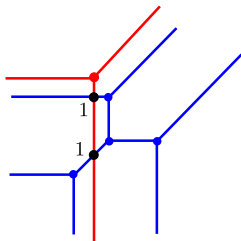
VS.



Tangent Line

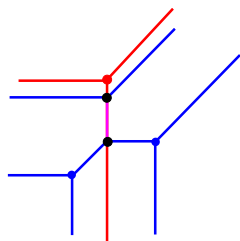
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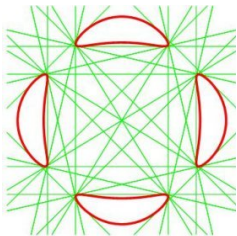
Tangent Line

Non-general case: Replace usual intersection with **stable intersection**.

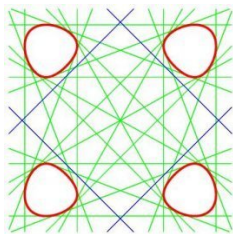
$$C_1 \cap_{st} C_2 := \lim_{\underline{\varepsilon} \rightarrow (0,0)} C_1 \cap (C_2 + \underline{\varepsilon}).$$

# Today's focus: 2 classical results in Algebraic Geometry

**Plücker (1834):** A sm. quartic curve in  $\mathbb{P}_{\mathbb{C}}^2$  has exactly 28 bitangent lines. (0,4,8,16 or 28 real bitangents, depending on topology of the real curve.)



**Trott:** 28 totally real bitangents.



**Salmon:** 28 real, 24 totally real.

**Cayley-Salmon (1849):** Any smooth algebraic cubic surface in  $\mathbb{P}_{\mathbb{C}}^3$  contains exactly 27 distinct lines.

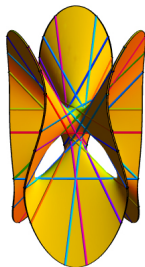


Figure: Clebsch cubic surface

**ISSUE:** Both results fail tropically! But we can fix it.

# 28 bitangent lines to sm. plane quartics over $K = \overline{\mathbb{C}((t))}$ .

**Plücker (1834):** A sm. quartic curve in  $\mathbb{P}_K^2$  has exactly 28 bitangent lines. (0,4,8,16 or 28 real bitangents, depending on topology of the real curve.)

**Question:** What happens tropically?

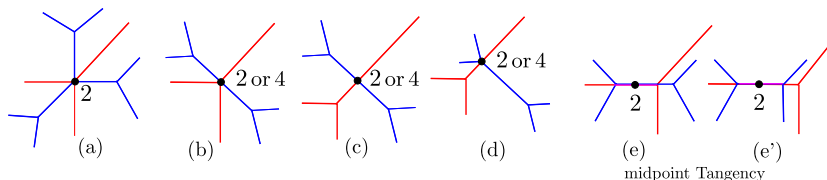
**Baker-Len-Morrison-Pflueger-Ren (2015):** Every tropical smooth quartic in  $\mathbb{R}^2$  has 7 bitangent classes.

**Len-Markwig (2017):** Generically, each class lifts to 4 classical bitangents.

**Len-Jensen (2017):** Each class *always* lifts to 4 classical bitangents.

**Question:** What is a tropical bitangent line? Need tangencies at 2 points.

**Len-Markwig:** 5 local tangencies (up to  $\mathbb{S}_3$ -symmetry.)

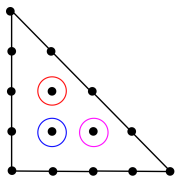


# 28 bitangent lines to sm. plane quartics over $K = \overline{\mathbb{C}((t))}$ .

**Theorem:** There are 28 classical bitangents to sm. plane quartics over  $K$  but 7 tropical bitangent classes to their tropicalizations.

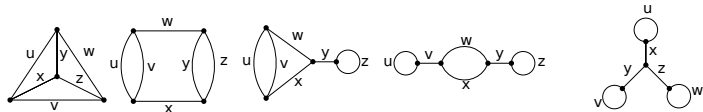
**Question:** Combinatorial proof?

Trop. sm. quartic = dual to unimodular triangulation of  $\Delta_2$  of side length 4.



$\rightsquigarrow$  duality gives a genus 3 planar metric graph.

Possible cases:

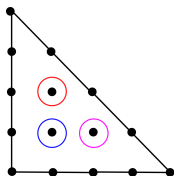


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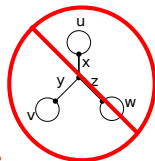
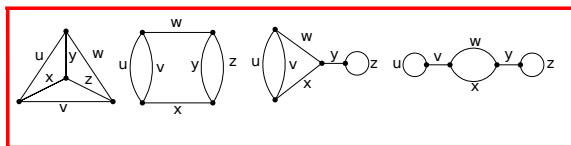
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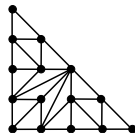
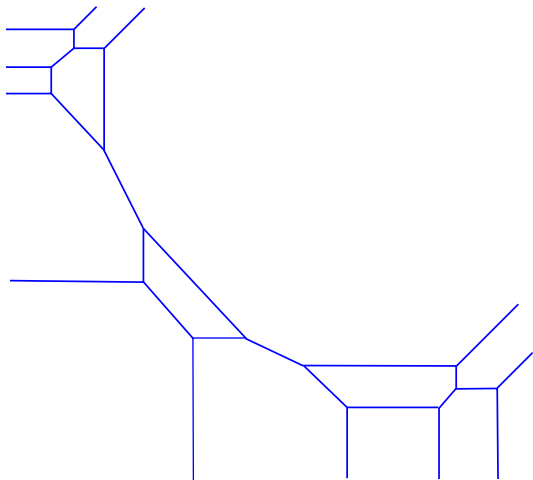
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Possible cases:  
[BLMPR '15]

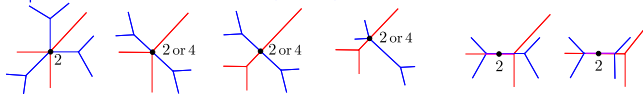


**Brodsky-Joswig-Morrison-Sturmfels (2015):** Newton subdivisions give linear restrictions on the lengths  $u, v, w, x, y, z$  of the edges.

# 28 classical bitangents vs. 7 tropical bitangent classes.

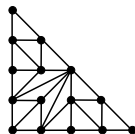
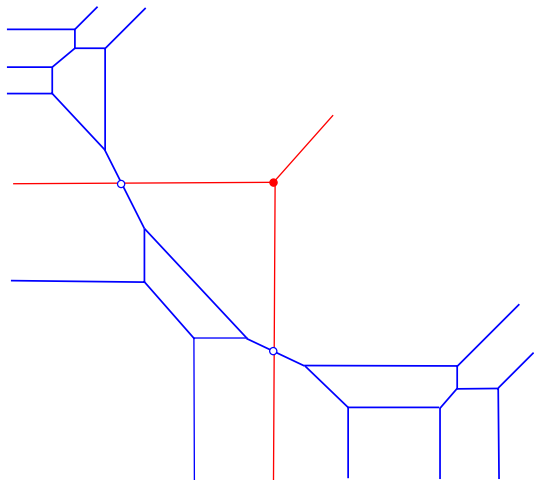


Local tangencies:  
(up to symm.)

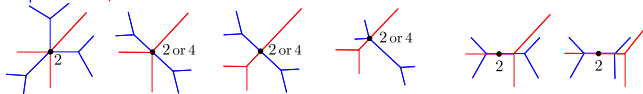




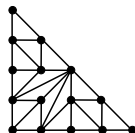
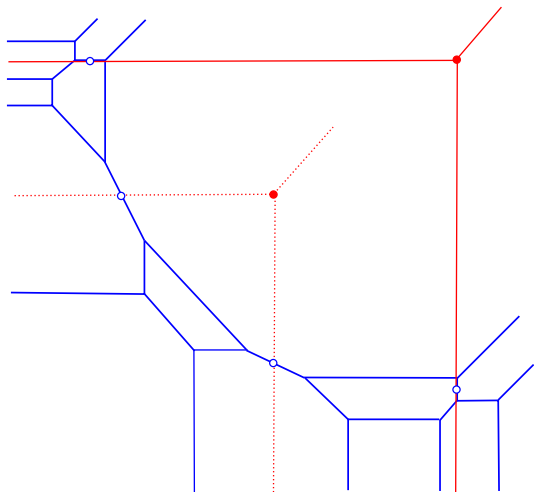
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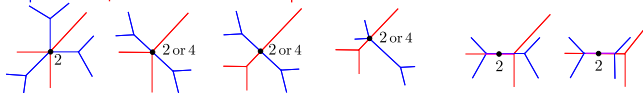
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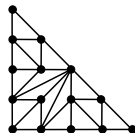
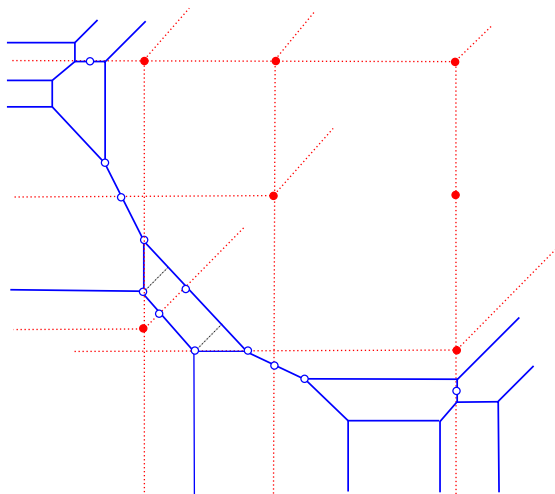
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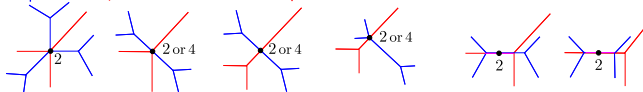
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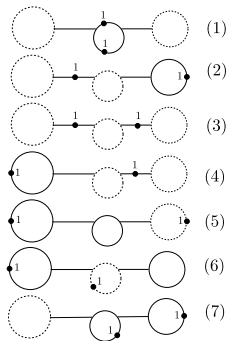
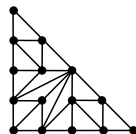
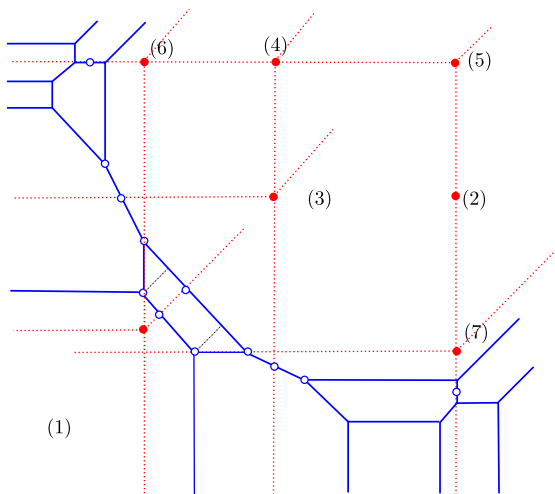
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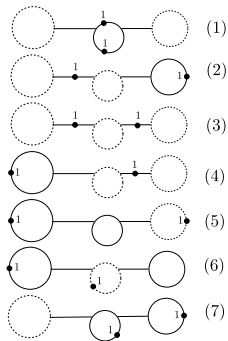
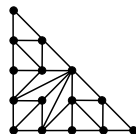
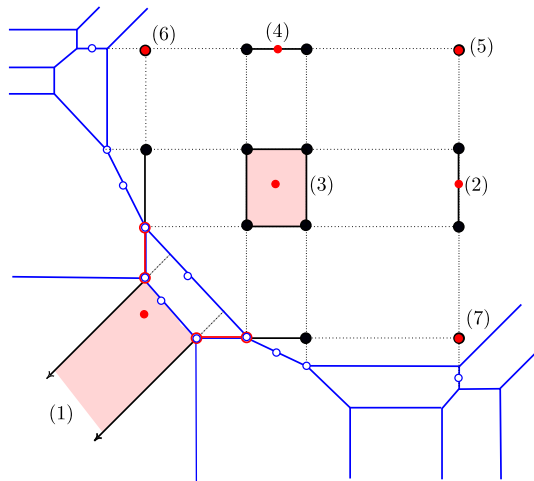
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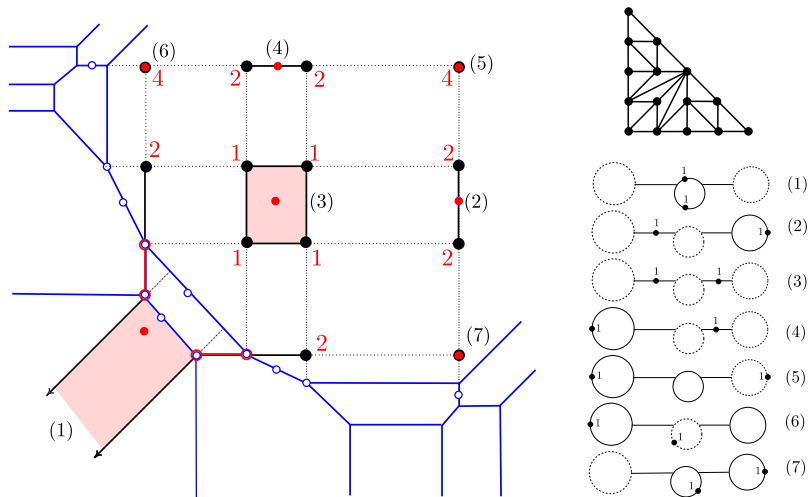
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**C.-Markwig (2018):** There are **36 shapes** of bitangent classes (up to symm.) They are **min-tropical** convex sets. Liftings come from vertices.  
**Over  $\mathbb{R}$ :** liftings on each shape are either all (totally) real or none is real.

# The 27 lines on a sm. cubic surface in $\mathbb{P}_K^3$ for $K = \overline{\mathbb{C}((t))}$

**Cayley-Salmon (1849):** Any smooth algebraic cubic surface  $X$  in  $\mathbb{P}_K^3$  contains exactly 27 distinct lines.

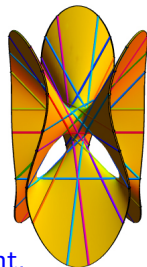
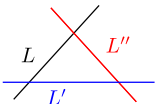


Figure: Clebsch cubic surface

**Schläfli, Cayley-Salmon:** description of this **line arrangement**.

- Say  $L, L'$  lines of  $X$  intersect and let  $\pi$  be the plane in  $\mathbb{P}_K^3$  they span.

Then:  $X \cap \pi = L \cup L' \cup L''$  and  $L''$  is also a line.

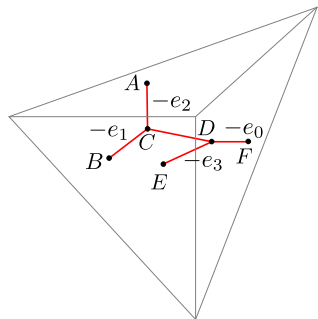
- Generic behavior:  (not concurrent)

- Generically: Every line meets 10 others (which come in 5 pairs).

**Gen. dual int. complex:** 27 V, 135 E and 45 T = **10-reg. Schläfli graph**.

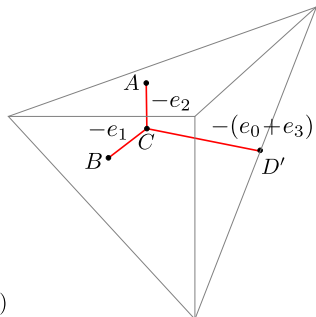
# The 27 lines on a sm. cubic surface in $\mathbb{P}_K^3$ for $K = \overline{\mathbb{C}((t))}$

Vigeland (2007): The result **fails tropically!** He gives examples of Trop  $X$  in  $\mathbb{TP}^3$  with 1-parameter families of tropical lines (**infinitely many lines!**)



Generic

$$\begin{aligned} A &= (0, -1, -\infty, 0) \\ B &= (0, -\infty, -2, 0) \\ C &= (0, -1, -2, 0) \\ D &= (0, 0, -1, 0) \\ E &= (0, 0, -1, -\infty) \\ F &= (-\infty, 0, -1, 0) \\ D' &= (-\infty, -1, -2, -\infty) \end{aligned}$$



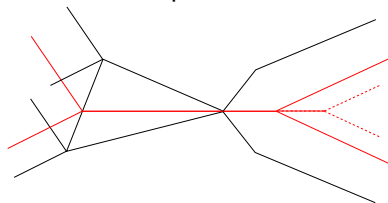
Non-generic

trop. line = balanced metric trees with  $(r)$  rays in direction  $e_{B_1}, \dots, e_{B_r}$  in  $(\mathbb{TP}^n)^\circ$  with  $B_1 \sqcup \dots \sqcup B_r = \{0, \dots, n\}$ ,  $e_B := -\sum_{i \in B} e_i$ .



# The 27 lines on a sm. cubic surface in $\mathbb{P}_K^3$ for $K = \overline{\mathbb{C}((t))}$

Vigeland (2007): The result **fails tropically!** He gives examples of Trop  $X$  in  $\mathbb{TP}^3$  with 1-parameter families of tropical lines (**infinitely many lines!**)



Vigeland (2007), Hampe-Joswig (2016):  
Combinatorial classification of all tropical cubic surfaces in  $\mathbb{TP}^3$  and their lines.

Algebraic approach:

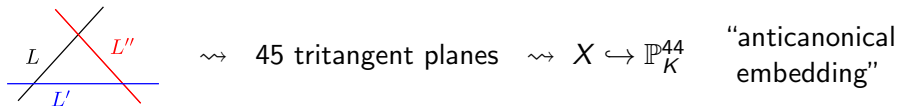
- Cubic surface in  $\mathbb{P}_K^3 \equiv$  homogeneous degree 3 polynomial in 4 variables.
- 20 coefficients up to global constant, so we get a  $\mathbb{P}_K^{19}$  worth of surfaces.
- Smoothness: *open condition* in  $\mathbb{P}_K^{19}$ .
- Coord. changes give the *same surface*, so we identify points via  $\text{PGL}(4)$ .

Our moduli space of smooth cubic surfaces has **dimension**  $= 20 - 4^2 = 4$ .

# The 27 lines on a sm. cubic surface in $\mathbb{P}_K^3$ for $K = \overline{\mathbb{C}((t))}$

**Running assumption:** Our smooth cubics contain **no concurrent lines**.

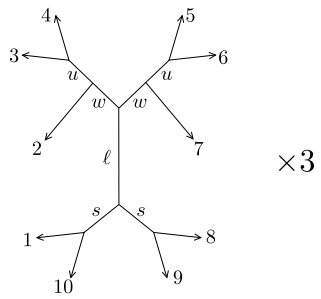
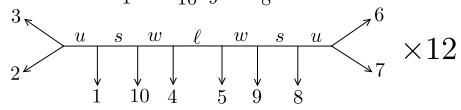
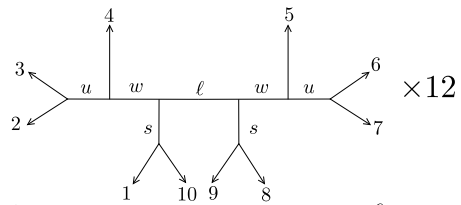
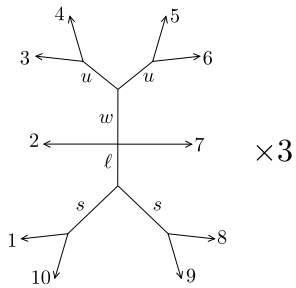
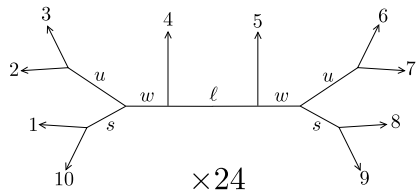
**New approach:** Fix the problem by a **new embedding** (compatible with families), when **all the lines are at infinity**.



**C-Deopurkar (2018):** The antican. embedding  $X \hookrightarrow \mathbb{P}_K^{44}$  satisfies:

1. Linear span of  $X$  in  $\mathbb{P}^{44}$  is a  $\mathbb{P}^3$  (the original one).
2. All 27 lines of  $X$  lie at infinity (on 5 hyperplanes each).
3. Intersections of lines lie in exactly 9 hyperplanes at infinity.
4. Generically,  $\text{Trop} X$  in  $\mathbb{TP}^{44}$  has exactly 27 lines, all at infinity. Each line is a metric tree with 10 leaves. This arrangement determines  $\text{Trop} X$ .
5. Otherwise, we have 27 extra lines inside (5 rays each) and  $\text{Trop} X$  is a fan. The arrangement at infinity is the 10-reg Schläfli graph (27 V, 135 E.)

# The 27 tropical lines on a gen. trop. cubic surface in $\mathbb{TP}^4$



Type	#cones	Vert.	Edges	Rays	Triangles	Squares	Flaps	Cones
0	1	1	0	27	0	0	0	135
(a)	36	8	13	69	6	0	42	135
(a <sub>2</sub> )	270	20	37	108	14	4	81	135
(a <sub>3</sub> )	540	37	72	144	24	12	117	135
(a <sub>4</sub> )	1620	59	118	177	36	24	150	135
(b)	40	12	21	81	10	0	54	135
(aa <sub>2</sub> )	540	23	42	114	13	7	87	135
(aa <sub>3</sub> )	1620	43	82	156	22	18	129	135
(aa <sub>4</sub> )	540	68	133	195	33	33	168	135
(a <sub>2</sub> a <sub>3</sub> )	1620	43	82	156	22	18	129	135
(a <sub>2</sub> a <sub>4</sub> )	810	71	138	201	32	36	174	135
(a <sub>3</sub> a <sub>4</sub> )	540	68	133	195	33	33	168	135
(ab)	360	26	48	123	16	7	96	135
(a <sub>2</sub> b)	1080	45	86	162	24	18	135	135
(a <sub>3</sub> b)	1080	69	135	198	34	33	171	135
(aa <sub>2</sub> a <sub>3</sub> )	3240	46	87	162	21	21	135	135
(aa <sub>2</sub> a <sub>4</sub> )	1620	74	143	207	31	39	180	135
(aa <sub>3</sub> a <sub>4</sub> )	1620	74	143	207	31	39	180	135
(a <sub>2</sub> a <sub>3</sub> a <sub>4</sub> )	1620	74	143	207	31	39	180	135
(aa <sub>2</sub> b)	2160	48	91	168	23	21	141	135
(aa <sub>3</sub> b)	3240	75	145	210	32	39	183	135
(a <sub>2</sub> a <sub>3</sub> b)	3240	75	145	210	32	39	183	135
(aa <sub>2</sub> a <sub>3</sub> a <sub>4</sub> )	3240	77	148	213	30	42	186	135
(aa <sub>2</sub> a <sub>3</sub> b)	6480	78	150	216	31	42	189	135

Table: recovers Table 1 from [Ren-Shaw-Sturmfels (2016)] for Cox embedding.