

# Webs, foams, knot invariants, and representation theory

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# Overview

- 1 Knots and their (polynomial) invariants
- 2 Webs and representation theory
- 3 Knot homologies and foams
- 4 Some illustrative consequences

# Knots and topology

- A knot is precisely what you think it is: a flexible, closed, knotted piece of string in three-dimensional space.
- Although the study of knots at first appears to be a rather niche problem in topology (i.e. the study of how 1d spaces embed in a certain 3d space), knots provide a means to study 3d topology.
- E.g. the Lickorish-Wallace Theorem states that any closed, orientable, connected 3-manifold can be obtained via surgery on a knot/link.

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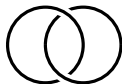
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## Diagrams for knots

- Despite knots (and links) being inherently 3-dimensional objects, they can be studied via their 2-dimensional diagrams:



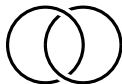
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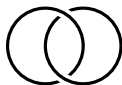
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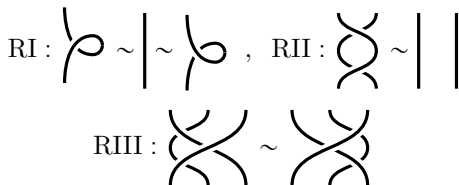
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## Knot invariants and the Jones polynomial

- Until the 1980's, (most) invariants of knots did not make use of the diagrammatic description afforded by the Reidemeister Theorem, instead being given in terms of “classical” constructions in algebraic topology (e.g. fundamental group, covering spaces, homology).
- In 1985, Jones introduced a polynomial invariant  $V_q(\mathcal{K}) \in \mathbb{Z}[q, q^{-1}]$  for knots  $\mathcal{K} \subset S^3$  using algebraic methods (braid group representations).
- Kauffman reformulated the Jones polynomial in diagrammatic terms:

$$\left[ \begin{array}{c} \nearrow \\ \searrow \\ \nearrow \\ \searrow \end{array} \right] = \begin{array}{c} \smile \\ \frown \end{array} - q^{-1} \left| \right| \left| \right|, \quad \left[ \begin{array}{c} \nearrow \\ \searrow \\ \nearrow \\ \searrow \end{array} \right] = -q \left| \right| \left| \right| + \begin{array}{c} \smile \\ \frown \end{array}$$

$$\bigcirc = [2] := q + q^{-1}$$

i.e. as a function from the set of (oriented) knot diagrams to  $\mathbb{Z}[q, q^{-1}]$  that is invariant under the Reidemeister moves.



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$$\boxed{\text{X}} = \text{Cup} - q^{-1} \text{Cap}, \quad \boxed{\text{X}} = -q \text{Cap} + \text{Cup}$$

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# The Kauffman bracket

How to interpret  $[\mathcal{D}]$ ?

- As a rule for a “state sum” expansion for the Jones polynomial:

$$\begin{aligned}
 \left[ \begin{array}{c} \text{Two oriented circles crossing} \end{array} \right] &= \left[ \begin{array}{c} \text{Circle with a dot} \end{array} \right] - q^{-1} \left[ \begin{array}{c} \text{Wavy line} \end{array} \right] \\
 &= \left[ \begin{array}{c} \text{Circle with a dot} \end{array} \right] - q^{-1} \left[ \begin{array}{c} \text{Wavy line} \end{array} \right] - q^{-1} \left[ \begin{array}{c} \text{Wavy line} \end{array} \right] + q^{-2} \left[ \begin{array}{c} \text{Two circles} \end{array} \right] \\
 &= [2](q + q^{-3})
 \end{aligned}$$

- As a functor from the category of oriented tangles (knot pieces) to the category  $\mathcal{TL}$  of  $\mathbb{Z}[q, q^{-1}]$ -linear combinations of planar curves (modulo the “circle relation”):

$$\left[ \begin{array}{c} \text{Crossing with arrows} \end{array} \right] \mapsto \left[ \begin{array}{c} \text{Wavy line} \end{array} \right] - q \left[ \begin{array}{c} \text{Wavy line} \end{array} \right]$$

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# Diagrammatics for $\text{Rep}(U_q(\mathfrak{sl}_2))$

**Q:** What is the category  $\mathcal{TL}$ ?

**A:** Back in 1932, Rumer, Teller, and Weyl knew the answer from their study of invariant vectors in tensor products of the standard representation  $V = \mathbb{C}^2$  of  $\mathfrak{sl}_2$ :

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1. **Fundamentalsatz** annehmen, daß die Invariante  $J$  ein Monom ist, welches wir durch sein Valenzschema  $S$  abbilden. Es bestehe aus  $N$  Strichen zwischen den  $n$  Punkten  $x, y, \dots, z$ . Wir stützen uns darauf, daß man mit Hilfe der Relation (2):

$$(3) \quad \begin{array}{c} x \\ \circ \\ \diagdown \\ \circ \\ y \end{array} \begin{array}{c} z \\ \circ \\ \diagup \\ \circ \\ t \end{array} = \begin{array}{c} \circ \\ \text{---} \\ \circ \end{array} \begin{array}{c} \circ \\ \text{---} \\ \circ \end{array} + \begin{array}{c} \circ \\ | \\ \circ \end{array} \begin{array}{c} \circ \\ | \\ \circ \end{array},$$

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Theorem (Folklore, Rumer-Teller-Weyl)

The category  $\mathcal{TL}$  with objects  $n \in \mathbb{N}$  and morphisms  $n \rightarrow m$  consisting of  $\mathbb{Z}[q, q^{-1}]$ -linear combinations of  $(m, n)$  planar curves, modulo the circle relation, is equivalent to the full subcategory of  $\text{Rep}(U_q(\mathfrak{sl}_2))$  tensor generated by the standard representation.

- This is a diagrammatic incarnation of results of Reshetikhin-Turaev, that build a knot invariant  $P_{\mathfrak{g}}(\mathcal{K}) \in \mathbb{Z}[q, q^{-1}]$  for each simple Lie algebra  $\mathfrak{g}$ .

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## Quantum $\mathfrak{sl}_n$ knot polynomials and webs

- Following work of Kuperberg, Murakami-Ohtsuki-Yamada (1998) give an analogue of the Kauffman bracket for the  $\mathfrak{sl}_n$  knot polynomials:

$$[\text{crossing}]_n = \text{web} - q^{-1} \text{web}, \quad [\text{crossing}]_n = -q \text{web} + \text{web}$$

- Cautis-Kamnitzer-Morrison define a category  $n\text{Web}$  presented by generators and relations:

$$\begin{array}{c} k \\ | \\ k \end{array}, \quad \begin{array}{c} k+l \\ \diagup \quad \diagdown \\ k \quad l \end{array}, \quad \begin{array}{c} k \quad l \\ \diagdown \quad \diagup \\ k+l \end{array}$$

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Theorem (Cautis-Kamnitzer-Morrison, 2012)

$n\text{Web}$  is equivalent to the subcategory of  $\text{Rep}(U_q(\mathfrak{gl}_n))$  tensor generated by the fundamental representations  $\wedge^k V$ .

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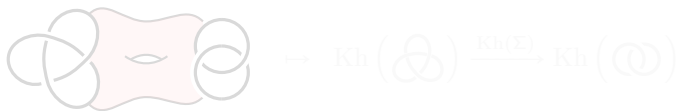


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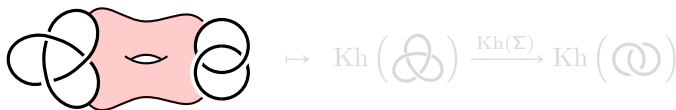


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## 3d diagrammatics for Khovanov homology

- Khovanov's invariant is given by promoting the Kauffman bracket one dimension higher, using homological algebra:

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- Following Bar-Natan, we interpret this as a chain complex in a certain (additive, monoidal) 2-category  $\mathcal{BN}$  of curves and decorated surfaces, modulo local relations given diagrammatically as

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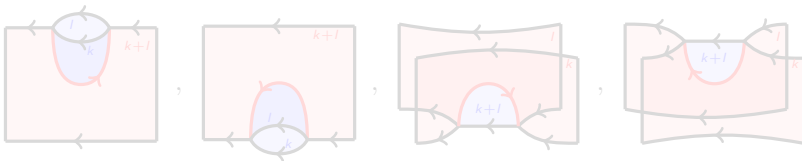
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# Khovanov-Rozansky homology and foams

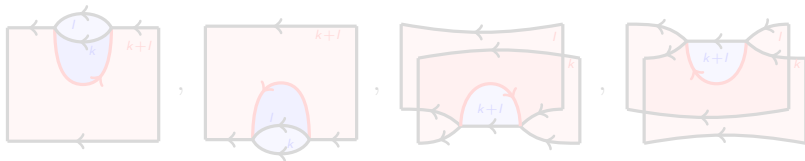
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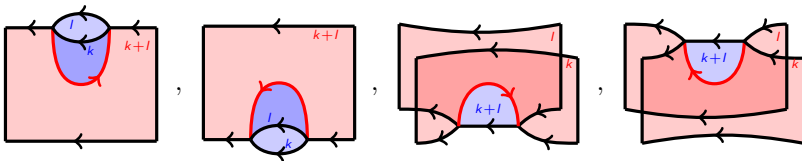
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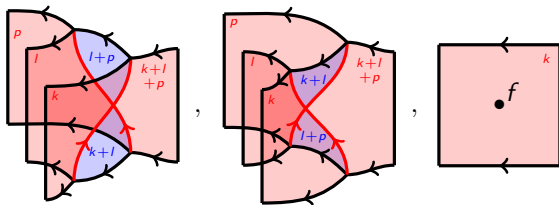


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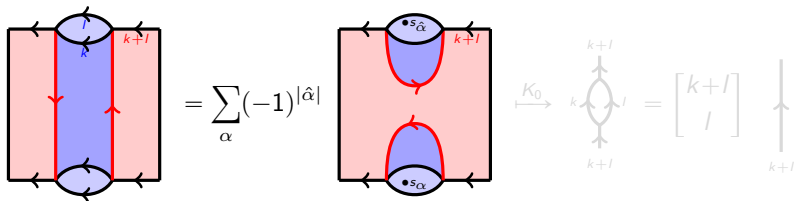
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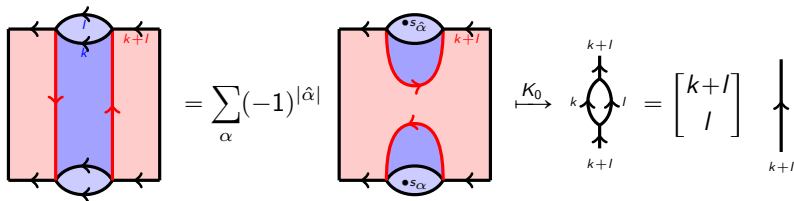
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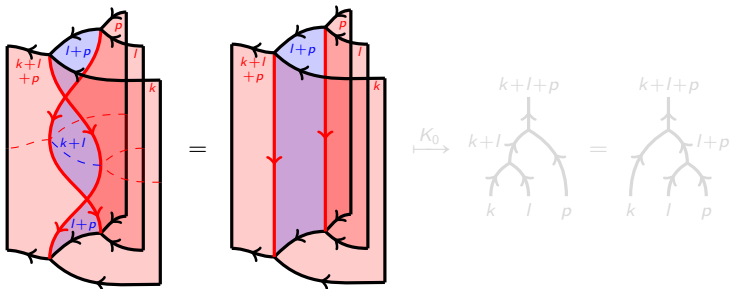
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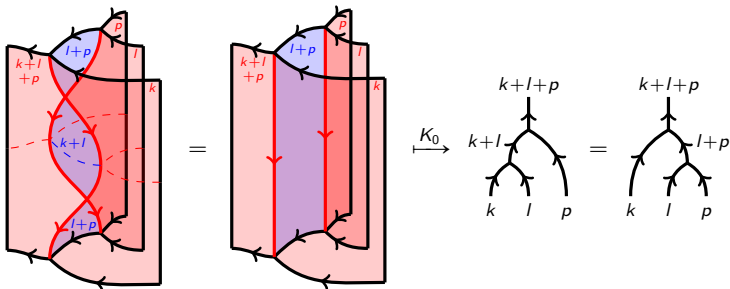
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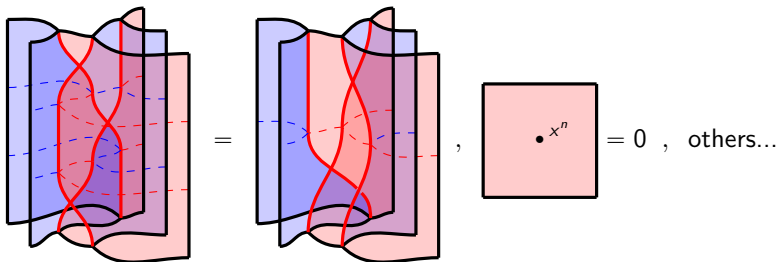
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## An application: structures on annular knot invariants

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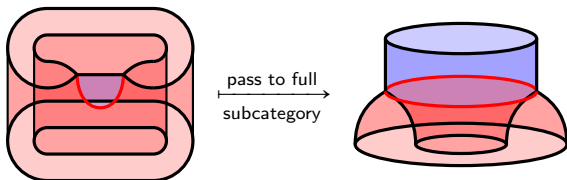
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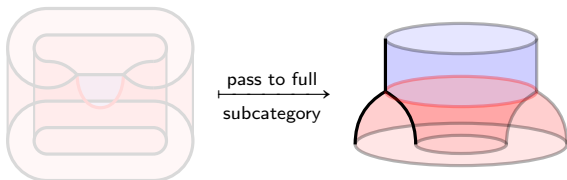
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- In work with Queffelec (following work of Asaeda-Przytycki-Sikora), we use foams embedded in a thickening of the annulus  $\mathcal{A}$  to construct analogues  $\mathcal{AKhR}_n(\mathcal{K})$  of Khovanov-Rozansky homology for knots  $\mathcal{K} \subset \mathcal{A} \times [0, 1]$ .

Theorem (Grigsby-Licata-Wehrli, Queffelec-R.)

The annular knot invariant  $\mathcal{AKhR}_n(\mathcal{K})$  carries an action of  $\mathfrak{sl}_n$ .

⇒ invariants of knotted surfaces in 4d.

- We further show that such knot homologies can be described in terms of annular foams that are rotationally symmetric.
- Taking a radial slice, such foams correspond to  $\mathfrak{sl}_n$  webs, i.e. to maps between  $\mathfrak{sl}_n$  representations.

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## Foams and representation theory

The 2-category  $n\text{Foam}$  lives at the intersection of low-dimensional topology and categorical representation theory.

- On the one hand,  $n\text{Foam}$  (and its annular variant) are the setting for various knot homology theories.
- On the other, taking the  $n \rightarrow \infty$  limit gives a 2-category that is a 3d diagrammatic model for the 2-category of singular Soergel bimodules:

$$\left| \cdots \right| \left| \begin{array}{c} \diagup \\ \diagdown \end{array} \right| \left| \cdots \right| \leftrightarrow \mathbb{C}[x_1, \dots, x_m] \otimes_{\mathbb{C}[x_1, \dots, x_i + x_{i+1}, x_{i+1}, \dots, x_m]} \mathbb{C}[x_1, \dots, x_m]$$

The latter is a certain 2-category of bimodules over polynomial rings  $\mathbb{C}[x_1, \dots, x_m]^{W_j}$  equivalent to a 2-category of perverse sheaves on products of partial flag varieties (the “Hecke category” is a full 2-subcategory).

- Results of Rouquier assign a complex of (singular) Soergel bimodules to any braid, and Khovanov builds triply-graded (Khovanov-Rozansky) knot homology using the Hochschild cohomology of these bimodules.

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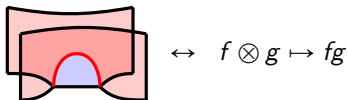
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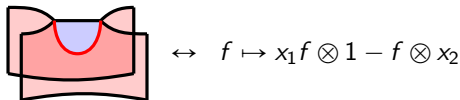
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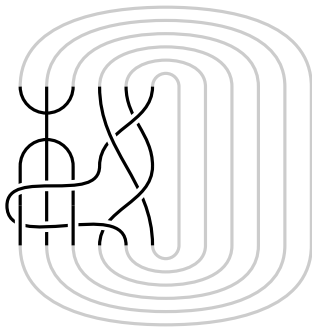
$$\left[ \begin{array}{c} \nearrow \\ \searrow \\ \nearrow \\ \searrow \end{array} \right]_{\infty} = \begin{array}{c} \diagup \\ \diagdown \end{array} \xrightarrow{\text{foam}} q^{-1} \left| \right|$$

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## An application: knot homology in a handlebody

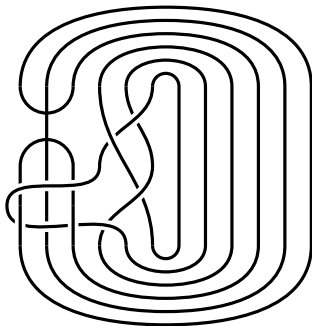
- We obtain a complex of singular Soergel bimodules associated to any braided web, and can apply Hochschild cohomology:



- The complement of the web is a handlebody  $\mathcal{H}_g$  (i.e. “the inside” of a genus  $g$  surface), so this diagram describes a knot  $\mathcal{K} \subset \mathcal{H}_g$ .

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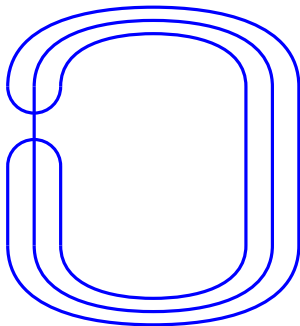
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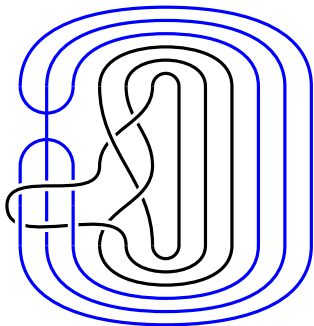


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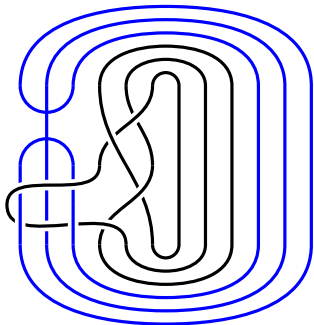
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### Theorem (R.-Tubbenhauer)

There exists a homology theory for knots in genus  $g$  handlebodies, that extends triply-graded (Khovanov-Rozansky) knot homology.

# Thanks!