

# Using semidirect product of (semi)groups in public key cryptography

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# The Diffie-Hellman public key exchange (1976)

1. Alice and Bob agree on a public (finite) cyclic group  $G$  and a generating element  $g$  in  $G$ . We will write the group  $G$  multiplicatively.
2. Alice picks a random natural number  $a$  and sends  $g^a$  to Bob.
3. Bob picks a random natural number  $b$  and sends  $g^b$  to Alice.
4. Alice computes  $K_A = (g^b)^a = g^{ba}$ .
5. Bob computes  $K_B = (g^a)^b = g^{ab}$ .

Since  $ab = ba$  (because  $\mathbb{Z}$  is commutative), both Alice and Bob are now in possession of the same group element  $K = K_A = K_B$  which can serve as the shared secret key.

# Security assumptions

To recover  $g^{ab}$  from  $(g, g^a, g^b)$  is hard.

To recover  $a$  from  $(g, g^a)$  (discrete log problem) is hard.

## Variations on Diffie-Hellman: why not just multiply them?

1. Alice and Bob agree on a (finite) cyclic group  $G$  and a generating element  $g$  in  $G$ . We will write the group  $G$  multiplicatively.
2. Alice picks a random natural number  $a$  and sends  $g^a$  to Bob.
3. Bob picks a random natural number  $b$  and sends  $g^b$  to Alice.
4. Alice computes  $K_A = (g^b) \cdot (g^a) = g^{b+a}$ .
5. Bob computes  $K_B = (g^a) \cdot (g^b) = g^{a+b}$ .

Obviously,  $K_A = K_B = K$ , which can serve as the shared secret key.

**Drawback:** anybody can obtain  $K$  the same way!

# Semidirect product

Let  $G, H$  be two groups, let  $\text{Aut}(G)$  be the group of automorphisms of  $G$ , and let  $\rho : H \rightarrow \text{Aut}(G)$  be a homomorphism. Then the semidirect product of  $G$  and  $H$  is the set

$$\Gamma = G \rtimes_{\rho} H = \{(g, h) : g \in G, h \in H\}$$

with the group operation given by

$$(g, h)(g', h') = (g^{\rho(h')} \cdot g', h \cdot h').$$

Here  $g^{\rho(h')}$  denotes the image of  $g$  under the automorphism  $\rho(h')$ .

## Extensions by automorphisms

If  $H = \text{Aut}(G)$ , then the corresponding semidirect product is called the *holomorph* of the group  $G$ . Thus, the holomorph of  $G$ , usually denoted by  $\text{Hol}(G)$ , is the set of all pairs  $(g, \phi)$ , where  $g \in G$ ,  $\phi \in \text{Aut}(G)$ , with the group operation given by

$$(g, \phi) \cdot (g', \phi') = (\phi'(g) \cdot g', \phi \cdot \phi').$$

It is often more practical to use a subgroup of  $\text{Aut}(G)$  in this construction.

Also, if we want the result to be just a semigroup, not necessarily a group, we can consider the semigroup  $\text{End}(G)$  instead of the group  $\text{Aut}(G)$  in this construction.

# Key exchange using extensions by automorphisms (Habeeb-Kahrobaei-Koupparis-Shpilrain)

- Let  $G$  be a group (or a semigroup).
- An element  $g \in G$  is chosen and made public as well as an arbitrary automorphism (or an endomorphism)  $\phi$  of  $G$ .
- Bob chooses a private  $n \in \mathbb{N}$ .
- While Alice chooses a private  $m \in \mathbb{N}$ .
- Both Alice and Bob are going to work with elements of the form  $(g, \phi^k)$ , where  $g \in G$ ,  $k \in \mathbb{N}$ .

## Using semidirect product (cont.)

1. Alice computes

$$(g, \phi)^m = (\phi^{m-1}(g) \cdots \phi^2(g) \cdot \phi(g) \cdot g, \phi^m)$$

and sends **only the first component** of this pair to Bob.  
Thus, she sends to Bob **only** the element

$$a = \phi^{m-1}(g) \cdots \phi^2(g) \cdot \phi(g) \cdot g$$

of the group  $G$ .

2. Bob computes

$$(g, \phi)^n = (\phi^{n-1}(g) \cdots \phi^2(g) \cdot \phi(g) \cdot g, \phi^n)$$

and sends **only the first component** of this pair to Alice:

$$b = \phi^{n-1}(g) \cdots \phi^2(g) \cdot \phi(g) \cdot g.$$



## Using semidirect product (cont.)

### 3. Alice computes

$$(b, x) \cdot (a, \phi^m) = (\phi^m(b) \cdot a, x \cdot \phi^m).$$

Her key is now

$$K_A = \phi^m(b) \cdot a.$$

Note that she does not actually “compute”  $x \cdot \phi^m$  because she does not know the automorphism  $x$ ; recall that it was not transmitted to her. But she does not need it to compute  $K_A$ .

## Using semidirect product (cont.)

### 4. Bob computes

$$(a, y) \cdot (b, \phi^n) = (\phi^n(a) \cdot b, y \cdot \phi^n).$$

His key is now

$$K_B = \phi^n(a) \cdot b.$$

Again, Bob does not actually “compute”  $y \cdot \phi^n$  because he does not know the automorphism  $y$ .

### 5. Since

$$(b, x) \cdot (a, \phi^m) = (a, y) \cdot (b, \phi^n) = (g, \phi)^{m+n},$$

we should have  $K_A = K_B = K$ , the shared secret key.

## Special case: Diffie-Hellman

$$G = \mathbb{Z}_p^*$$

$\phi(g) = g^k$  for all  $g \in G$  and a fixed  $k$ ,  $1 < k < p - 1$ , where  $k$  is relatively prime to  $p - 1$ .

Then  $(g, \phi)^m = (\phi^{m-1}(g) \cdots \phi(g) \cdot \phi^2(g) \cdot g, \phi^m)$ .

The first component is equal to  $g^{k^{m-1} + \dots + k + 1} = g^{\frac{k^m - 1}{k - 1}}$ .

The shared key  $K = g^{\frac{k^m - 1}{k - 1}}$ .

## Special case: Diffie-Hellman

“The Diffie-Hellman type problem” would be to recover the shared key

$$K = g^{\frac{k^{m+n}-1}{k-1}}$$

from the triple

$$(g, g^{\frac{k^m-1}{k-1}}, g^{\frac{k^n-1}{k-1}}).$$

Since  $g$  and  $k$  are public, this is equivalent to recovering  $g^{k^{m+n}}$  from the triple  $(g, g^{k^m}, g^{k^n})$ , i.e., this is exactly the standard Diffie-Hellman problem.

## Definition (Group ring)

Let  $G$  be a group written multiplicatively and let  $R$  be any commutative ring with nonzero unity. The group ring  $R[G]$  is defined to be the set of all formal sums

$$\sum_{g_i \in G} r_i g_i$$

where  $r_i \in R$ , and all but a finite number of  $r_i$  are zero.

We define the sum of two elements in  $RG$  by

$$\left( \sum_{g_i \in G} a_i g_i \right) + \left( \sum_{g_i \in G} b_i g_i \right) = \sum_{g_i \in G} (a_i + b_i) g_i.$$

Note that  $(a_i + b_i) = 0$  for all but a finite number of  $i$ , hence the above sum is in  $R[G]$ . Thus  $(R[G], +)$  is an abelian group.

Multiplication of two elements of  $R[G]$  is defined by the use of the multiplications in  $G$  and  $R$  as follows:

$$\left( \sum_{g_i \in G} a_i g_i \right) \left( \sum_{g_i \in G} b_i g_i \right) = \sum_{g_i \in G} \left( \sum_{g_j g_k = g_i} a_j b_k \right) g_i.$$

## Platform: matrices over group rings

- Our general protocol can be used with *any* non-commutative group  $G$  if  $\phi$  is selected to be an inner automorphism.
- Furthermore, it can be used with any non-commutative *semigroup*  $G$  as well, as long as  $G$  has some invertible elements; these can be used to produce inner automorphisms.
- A typical example of such a semigroup would be a semigroup of matrices over some ring.

## Platform: matrices over group rings

We use the semigroup of  $3 \times 3$  matrices over the group ring  $\mathbb{Z}_7[A_5]$ , where  $A_5$  is the alternating group on 5 elements. Then the public key consists of two matrices: the (invertible) conjugating matrix  $H$  and a (non-invertible) matrix  $M$ . The shared secret key then is:

$$K = H^{-(m+n)}(HM)^{m+n}.$$



Here we use an extension of the semigroup  $G$  by an inner automorphism  $\varphi_H$ , which is conjugation by a matrix  $H \in GL_3(\mathbb{Z}_7[A_5])$ . Thus, for any matrix  $M \in G$  and for any integer  $k \geq 1$ , we have

$$\varphi_H(M) = H^{-1}MH; \quad \varphi_H^k(M) = H^{-k}MH^k.$$

1. Alice and Bob agree on public matrices  $M \in G$  and  $H \in GL_3(\mathbb{Z}_7[A_5])$ . Alice selects a private positive integer  $m$ , and Bob selects a private positive integer  $n$ .
2. Alice computes  $(M, \varphi_H)^m = (H^{-m+1}MH^{m-1} \dots H^{-2}MH^2 \cdot H^{-1}MH \cdot M, \varphi_H^m)$  and sends **only the first component** of this pair to Bob. Thus, she sends to Bob **only** the matrix

$$A = H^{-m+1}MH^{m-1} \dots H^{-2}MH^2 \cdot H^{-1}MH \cdot M = H^{-m}(HM)^m.$$

3. Bob computes

$$(M, \varphi_H)^n = (H^{-n+1}MH^{n-1} \dots H^{-2}MH^2 \cdot H^{-1}MH \cdot M, \varphi_H^n)$$

and sends **only the first component** of this pair to Alice.

Thus, he sends to Alice **only** the matrix

$$B = H^{-n+1}MH^{n-1} \dots H^{-2}MH^2 \cdot H^{-1}MH \cdot M = H^{-n}(HM)^n.$$

4. Alice computes  $(B, x) \cdot (A, \varphi_H^m) = (\varphi_H^m(B) \cdot A, x \cdot \varphi_H^m)$ . Her key is now  $K_{Alice} = \varphi_H^m(B) \cdot A = H^{-(m+n)}(HM)^{m+n}$ . Note that she does not actually “compute”  $x \cdot \varphi_H^m$  because she does not know the automorphism  $x = \varphi_H^n$ ; recall that it was not transmitted to her. But she does not need it to compute  $K_{Alice}$ .

5. Bob computes  $(A, y) \cdot (B, \varphi_H^n) = (\varphi_H^n(A) \cdot B, y \cdot \varphi_H^n)$ . His key is now  $K_{Bob} = \varphi_H^n(A) \cdot B$ . Again, Bob does not actually “compute”  $y \cdot \varphi_H^n$  because he does not know the automorphism  $y = \varphi_H^m$ .
6. Since  $(B, x) \cdot (A, \varphi_H^m) = (A, y) \cdot (B, \varphi_H^n) = (M, \varphi_H)^{m+n}$ , we should have  $K_{Alice} = K_{Bob} = K$ , the shared secret key.

# Security assumptions

To recover  $H^{-(m+n)}(HM)^{m+n}$  from  
 $(M, H, H^{-m}(HM)^m, H^{-n}(HM)^n)$  is hard.

To recover  $m$  from  $H^{-m}(HM)^m$  is hard.

# Nilpotent groups and $p$ -groups

## Definition

First we recall that a *free group*  $F_r$  on  $x_1, \dots, x_r$  is the set of *reduced words* in the alphabet  $\{x_1, \dots, x_r, x_1^{-1}, \dots, x_r^{-1}\}$ .

- It is a fact that every group that can be generated by  $r$  elements is the factor group of  $F_r$  by an appropriate normal subgroup. We are now going to define two special normal subgroups of  $F_r$ .
- The normal subgroup  $F_r^p$  is generated (as a group) by all elements of the form  $g^p$ ,  $g \in F_r$ . In the factor group  $F_r/F_r^p$  every nontrivial element therefore has order  $p$  (if  $p$  is a prime).

## Nilpotent groups and $p$ -groups (cont.)

- The other normal subgroup that we need is somewhat less straightforward to define. Let  $[a, b]$  denote  $a^{-1}b^{-1}ab$ . Then, inductively, let  $[y_1, \dots, y_{c+1}]$  denote  $[[y_1, \dots, y_c], y_{c+1}]$ . For a group  $G$ , denote by  $\gamma_c(G)$  the (normal) subgroup of  $G$  generated (as a group) by all elements of the form  $[y_1, \dots, y_c]$ . If  $\gamma_{c+1}(G) = \{1\}$ , we say that the group  $G$  is nilpotent of nilpotency class  $c$ .
- The factor group  $F_r/\gamma_{c+1}(F_r)$  is called *the free nilpotent group* of nilpotency class  $c$ . This group is infinite.



## Free nilpotent $p$ -group

- The group  $G = F_r/F_r^{p^2} \cdot \gamma_{c+1}(F_r)$  is what we suggest to use as the platform for the key exchange protocol.
- This group, being a nilpotent  $p$ -group, is finite. Its order depends on  $p$ ,  $c$ , and  $r$ . For efficiency reasons, it seems better to keep  $c$  and  $r$  fairly small (in particular, we suggest  $c = 2$  or  $3$ ), while  $p$  should be large enough to make the dimension of linear representations of  $G$  so large that a linear algebra attack would be infeasible.
- The minimal faithful representation of a finite  $p$ -group as a group of matrices over a finite field of characteristic  $p$  is in this case of dimension  $1 + p$ . Thus, if  $p$  is, say, a 100-bit number, a linear algebra attack is already infeasible.

Thanks

**Thank You!**